

A 2-day course on

## Organisational Governance and Risk Compliance

### COURSE HIGHLIGHTS:

1. THE 4 CARDINAL ELEMENTS OF CORPORATE GOVERNANCE.
2. WHY IS TODAY'S HYPE TO DELIVER GOOD CORPORATE GOVERNANCE.
3. INTERNAL STAKEHOLDERS' INTERESTS IN CORPORATE GOVERNANCE.
4. EXTERNAL STAKEHOLDERS' EXPECTATIONS IN CORPORATE GOVERNANCE.
5. MANAGING THE 5 BROAD RISKS IN YOUR ORGANISATION.
6. COMPLIANCE MATTERS: INTERNALLY-DERIVED COMPLIANCES & EXTERNALLY-DRIVEN COMPLIANCES. WHICH ONE IS THE MORE CRITICAL?

### OBJECTIVES

- i. Gaining deeper insights into the meaning of today's corporate governance.
- ii. Understanding the corporate governance's compliance needs from the point of external view of the Regulators, as well as the internal views of the aspirations of the Board, Senior Management, Employees, and Investors to manage their organisations with prudence and greater commitment.
- iii. Implementing enterprise risk management (ERM), and using ERM to enhance good corporate governance today.

Who should attend:

All personnel, officers, executives, and managers who are in the management and board team, and who are looking for new areas of management focuses today with the view to enhance higher level of corporate governance in their organisations.

In specific, the following individuals/functions:

- Chairman and board of Directors.
- CEOs, MDs, COOs, GMs.
- Company Secretaries.
- Corporate Affairs, Legal Officers/Managers.
- Accountants/Finance Managers.
- Strategic Planning Officers/Managers.
- Audit and Risk Managers.
- Operations Officers/Managers.
- Compliance Officers/Managers.
- Admin Officers/Managers.
- Investors.
- Academicians.

This course will benefit the management of these companies / organisations:

- Public Listed Companies.
- 1<sup>st</sup> and 2<sup>nd</sup> Board Listed Companies.
- Masdeq Companies.
- Government Bodies, Agencies.
- Government-linked corporations.
- Financial Institutions.
- Insurance companies.
- Foundations.
- Trustees.
- Fund/Asset Management Institutions/Companies.
- SMEs.
- Higher Learning Institutions.
- Regulatory / Enforcement Agencies.

Course materials:

Participants will receive

- (1) hand-out notes in Powerpoint format, articles and extracts on corporate governance issues.
- (2) Complimentary copy of book “Managing tomorrow’s organisations”.

COURSE CONTENT

1. ELEMENTS OF CORPORATE GOVERNANCE
  - Accountability/Responsibility.
  - Integrity/Honesty,
  - Openness/Transparency.
  - Fairness.
  
2. THE HYPE TODAY FOR ORGANISATIONS TO DELIVER THEIR GOOD CORPORATE GOVERNANCE.
  - Past collapses of companies/organizations.
  - Higher demand/expectations of various stakeholders.
  - Organisations are important corporate citizens of nations.
  - Board/mgt not to use corporations as vehicles for unethical activities.
  
3. MANAGING THE INTERNAL STAKEHOLDERS' INTERESTS IN CORPORATE GOVERNANCE.
  - Who are the internal stakeholders?
  - Their priorities and needs.
  
4. MEETING THE EXTERNAL STAKEHOLDERS' EXPECTATIONS IN ORGANISATIONS' GOOD CORPORATE GOVERNANCES.
  - Knowing the various external stakeholders.
  - What do they look forward to?
  
5. CONTEMPORARY ENTERPRISE RISK MANAGEMENT.
  - Managing the FIVE broad risk issues in an organisation.
  - Strategic risk. Business and project risk management.
  - Credit risk. Asset quality. Revenue and accounting recognition.
  - Operational risk.
  - Market/treasury risk. Cash flow. Financial risk modeling. Asset/liability management. Market risk.
  - Business continuity planning
  - Corporate social responsibility
  - Audit, compliance and management reviews
  
6. COMPLIANCE MATTERS TODAY.
  - Internally-derived compliances. Company guidelines. SOPs. Manuals. Procedures. Doing things right. Doing the right thing. Quality in products/services. Enhancing/preserving 'bottom-line' and shareholders' values.
  - Externally-driven compliance issues. Laws, regulations, enactments. Environmental protection. CSRs. AML/ATF. Accounting convention. SOX. Securities Commission. KLSE.
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7. CORPORATE WEALTH HINGE UPON THE PROPER MANAGEMENT OF 3 VARIABLES.

- Products/Services.
- Networks/Delivery channels.
- Customers/Users.

#### 8. WHAT OF TOMORROW?

- BCP. Crisis/disaster management. Business continuity
- Business as usual versus business survival.
- Business continuity and business resilience via ERM.

### Learn from the Course Co-ordinator



(Dr) Joseph Eby Ruin @ (Dr) Josef.

*FCCA(UK); CA(M'sia); Hon DBA-Mgt Phi(Kursk).*

*Joseph Eby Ruin* graduated in ACCA(UK) in 1976, was an Associate Member in 1979, and elevated to Fellow Member in 1984. He was admitted as a member of The Malaysian Institute of Accountants in 1983. For his many publications of management books, newspaper articles, and conference/seminar/workshop papers, he was conferred an honorary degree of Doctor of Business Administration (Mgt Philosophy) by Kursk State Technical University Russia. He was elected the Regional Director for the Malaysian Chapter of PRMIA (Professional Risk Mgrs' International Association) in November 2007. He was the Treasurer of FAAM(Fulbright scholars Almuni Association of Malaysia) for 2008-1009.

*Executive/managerial experience:*

Joseph's executive and managerial career spanned the past 3 decades. Throughout this 30 years, he worked in 6 different banks as Branch Manager and later holding various posts at the corporate head office. He also worked in 5 non-banking organisations where he held various executive/managerial capacities, as Head of Internal Audit/Chief Auditor and Secretary to the Board's Audit Committee. His last job before he retired in April 2007 was as the Chief Risk Officer/Head of Risk Management Division of a large Malaysian commercial bank. He is now the Principal/Proprietor of RiskFirst Consultancy & Training Services.

*Sabbatical work:*

He was awarded the US-sponsored Fulbright Professional Exchange Program in 1995/96. For this sabbatical in the US he was attached to the Institute of Internal Auditors Inc Head Office at Altamonte Springs Florida; where he participated in a 3-month auditing & corporate governance review workshops in Orlando Florida, Phoenix Arizona, Dallas Texas, and in Washington DC. In May 2004 he participated in the intensive workshop/seminar on risk management at INSEAD Paris France.

*Speaker:*

Joseph is a regular speaker/facilitator/panel chairman for public and inhouse forums / workshops and conferences. He has accepted invitations to deliver his papers in Kuala Lumpur, Mekong Institute Khon Kaen University Thailand, Melbourne Australia, Brunei, Fontainbleu Paris France, Shanghai China, Sydney Australia, Bangkok Thailand, Mumbai India, Singapore, Phnom Phen

Cambodia, Orlando Florida, Hong Kong, Mauritius, Beijing China, Manila Philippines, Seoul Korea , and in Bali and Jakarta Indonesia.

*Newspaper and journal articles:*

Since 1996 Joseph has contributed articles focusing on his areas of work. These articles are published in the local Malaysian newspapers (the NST) regularly, as well as in Malaysian business-magazines and professional newsletters/journals.

*Management books:*

Joseph has authored 18 management-books titled (1) Enterprise-wide Risk Management Made Easy, (2) Managing Operational Risks in Organisations, (3) ) Managing Crisis in Organisation,(4) Managing Tomorrow's Organisations, (5) Guide to Effective Management of Business Organisation, (6) Guide to the Management of Anti-Money Laundering and Counter-Financing of Terrorism, (7) (viii) Business Planning and Report Writing, (8) Getting One Step Ahead of the Pack, (9) Managing People and Business, (10) Managing Effective Teams in Organisations, (11) Managing Service, Finance, Credit and Debts, (12) Essentials of Managing Yourself, (13) The Art & Soul of Project Management, (14) Banking and Finance in Malaysia, (15) Guide to Import and Export Trade in Malaysia, and (16) Guide to Obtaining Bank Financing, and two books for The Malaysian Institute of Corporate Governance, namely (17) Audit Committee...Going Forward Towards Corporate Governance and (18) The Essentials of Corporate Management.

**Dr Joseph Eby Ruin's website is at : [www.riskfirstconsult.com](http://www.riskfirstconsult.com)**

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